

1 **Cities, biodiversity, and health: We need healthy urban microbiome initiatives**

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13 **Abstract**

14 Current evidence suggests that diverse environmental microbiomes contribute positively to
15 human health, and could account for known associations between urban green space and
16 improved health. We summarise the state of knowledge that could inform the development of
17 healthy urban microbiome initiatives (HUMI) to re-connect urban populations to biodiverse
18 microbial communities.

19 In his seminal book “On Airs, Water and Places”, Hippocrates - the ‘father of Western
20 medicine’ - wrote of the importance of healthy places for healthy humans (Hippocrates &
21 Translated by Francis Adams, 400BC). Many of the major public health advances in urban
22 areas over the last centuries have emerged from the recognition that clean water, clean air and
23 unpolluted environments prevent communicable diseases. This is particularly evident in
24 developed countries where cholera, plague and tuberculosis have been all but eliminated due
25 to sanitation and housing upgrades. The world now faces a pandemic of non-communicable
26 disease and, despite the resurgence of ‘socio-ecological’ models of public health (Lang &
27 Rayner, 2012), which explicitly link people and place, the focus has largely been on
28 individual risks and behaviours rather than environmental conditions.

29 The World Health Organisation (WHO) listed ‘a stable ecosystem’ as a prerequisite for
30 health in their Ottawa Charter (1986), and more than 1000 cities have signed up to promote
31 “healthy [physical and social] environs” in the Healthy Cities program (WHO, 2018). Despite
32 these ambitions, few comprehensive and systematic programmes exist to achieve healthy
33 people in healthy places. When they do exist, initiatives are often localised, sporadic or
34 risk/exposure specific without any clear theory of change underpinning the approaches taken.
35 The lack of strategic planning is problematic as the relationships between environmental and
36 human health are multifactorial, indirect and complex (Fig. 1). The putatively causal
37 associations often lack a quantified ‘dose response’ effect and are influenced by powerful
38 countervailing interests (e.g. commercial or governmental pressures) in maintaining the
39 current responsive, rather than preventative, health measures (Mindell, Reynolds, Cohen, &
40 McKee, 2012). Despite the uncertainty in what works, where and for whom, there is general
41 agreement that urban green spaces can play an important role in creating healthy cities (WHO
42 & Europe, 2016).

43 [Insert Figure 1 here]

44 The term ‘urban green space’ has been used to represent a variety of ecosystems and land use
45 types. Here, we use it to loosely refer to any vegetated area in a town or city to which people
46 may be exposed. They include the public or private parks, forests, riparian zones, gardens,
47 sport fields and playgrounds that urban lives intersect with on a daily basis. Green spaces can
48 include places for commuting (e.g. paths for walking or cycling; Fig. 2), places for recreation,
49 domestic spaces, and places of work for certain professions (e.g. professional sports people,
50 landscapers/gardeners).

51 [Insert Figure 2 here]

52 The last few decades have seen a rise in the percent of the population residing in cities
53 (urbanicity), a drop in infectious disease rates, and a rise in rates for many non-communicable
54 diseases. It is well known that physical and social environments impact on population-level
55 health of a city (Rydin et al., 2012). Broken windows, congested traffic, unmanaged waste
56 and overcrowding can lead to a physically and mentally unhealthy population. Biodiverse
57 urban green spaces have been identified as an important contributory factor in improving
58 many aspects of public health, but the mechanisms are often not clear. In this editorial, we
59 focus on the role that microbially diverse urban green spaces can have on urban health (Flies
60 et al., 2017), and the need for a systematic, global, healthy urban microbiome initiative
61 (HUMI) to maximise the health gain from exposure to microbial ‘old friends’ (Rook, 2013).

62 **What do we know about the green space contribution to health?**

63 A growing body of evidence has demonstrated that green spaces are key components of
64 healthy places, contributing directly and indirectly to population health and to the quality of
65 life of citizens. Urban green spaces indirectly impact human health through ecosystem
66 services including mitigating heat island effects, air pollution and noise (MEA & Millennium
67 Ecosystem Assessment, 2005; WHO & Europe, 2016). Urban green spaces, of all types, also

68 provide crucial reservoirs of biodiversity underpinning ecosystem services and functions in
69 urban areas.

70 Much of the research linking exposure to urban green spaces directly to health has come from
71 the developed west (Lai et al. in press) and has focused on exploring relatively simple
72 exposures and outcomes (Hartig, Mitchell, de Vries, & Frumkin, 2014). Syntheses of this
73 predominantly cross-sectional epidemiological evidence suggests that green spaces in urban
74 residential areas are linked to lower obesity, cardio-vascular disease and mortality and to
75 greater overall self-reported health (Gascon et al., 2016; Lachowycz & Jones, 2011; Twohig-
76 Bennett & Jones, 2018; World Health Organization & Convention on Biological Diversity,
77 2015), among other benefits. The strongest evidence is perhaps for green space positively
78 impacting mental health and wellbeing, including reductions in stress, fatigue, anxiety and
79 rates of depression (Gascon et al., 2015). These benefits appear to be most significant for
80 marginalised groups; in Europe, socioeconomic inequalities in mental wellbeing have been
81 found to be narrower in greener urban areas (Gascon et al., 2015; Hartig et al., 2014; Mitchell
82 et al., 2008). The greater use of longitudinal data has strengthened the reliability of these
83 outcomes and furthered our understanding of the connection between environment and health
84 through the lifecourse (T Sanders, Feng, Fahey, Lonsdale, & Astell-Burt, 2015; Taren
85 Sanders, Feng, Fahey, Lonsdale, & Astell-Burt, 2015; Weimann et al., 2015). Improved
86 quality of life, increased physical activity and enhanced social interactions have been
87 identified as potential mechanisms connecting green space to improvements in health and
88 wellbeing outcomes.

89 The associations are not, however, universal. The health benefits from urban green spaces
90 appear to be context dependent, with varying patterns of effect depending on the geographical
91 and socio-cultural location, climate, urban form, and other such factors. We also see variation
92 in outcomes according to individual and population level factors such as gender, age and

93 social economic status (Alcock, White, Wheeler, Fleming, & Depledge, 2014; Astell-Burt,
94 Mitchell, & Hartig, 2014; van den Berg et al., 2015).

95 Our understanding of health benefits of urban green space is limited for a number of reasons.
96 First, much of the evidence relates only to the residential environment, ignoring green spaces
97 around the work-place or other locations to which people are regularly exposed (e.g.
98 commuter routes). Second, often crude assessments and characterisations of the environment
99 are used, classifying spaces on a continuum of 'green' to 'not green' with little consideration
100 of the biodiversity, quality, state and type of green spaces (Wheeler et al., 2015). Third,
101 assessments of green space exposure are limited by assumptions of exposure, typically based
102 on a person's residential proximity to green space, rather than actual assessment of green
103 space exposure age, frequency, duration, and type. Finally, there is substantial variation in the
104 design of studies into green space health benefits (Ekkel & de Vries, 2017). Few studies have
105 made use of designs that examine causative, rather than associative, factors driving the
106 observed benefits.

107 Given the overwhelming evidence for green space health benefits, some governments (e.g.
108 the United Kingdom; Ham & Alderwick, 2015; NHS, 2014) have begun developing policy to
109 harness the potential of urban green spaces (See Solutions Box). However, there is still a
110 need to better understand the mechanisms driving green space health benefits so that green
111 spaces can be designed, restored, or used more effectively to benefit both the environment
112 and human health concurrently. One of the promising mechanisms is that exposure to
113 biodiverse environmental microbiota causes a cascade of upstream health benefits (Fig. 1;
114 Flies et al., 2017; Kuo, 2015; World Health Organization & Convention on Biological
115 Diversity, 2015).

116 [insert Solutions Box here]

117 **Mechanisms for health benefits from microbially diverse green space**

118 We could easily be considered to be in the decade of the microbiome; hardly a day goes by
119 without another connection forged between the human microbiome and health. For example,
120 in neonatology it has been found that cesarean births, antenatal antibiotics and bottle feeding
121 alter microbial colonization, and are associated with immune dysfunction (Mueller, Bakacs,
122 Combellick, Grigoryan, & Dominguez-Bello, 2015). Immunotherapy studies have found that
123 anti-cancer treatments (CTLA-4 blockade) are only effective if certain gut microbes are
124 present (Vétizou et al., 2015). Gastroenterology studies have discovered that fecal transplants
125 from healthy individuals can reduce inflammatory bowel disorders (Costello et al., 2017).

126 However, the environmental source of the human microbiome, and the role of *natural*
127 *environmental* microbiomes in human health has largely been neglected. It is clearly possible
128 that there are broader health benefits of exposure to the microbiomes of biodiverse green
129 spaces (Flandroy et al., 2018; Flies et al., 2017; Mills et al., 2017) but these have largely been
130 unexplored experimentally or even epidemiologically.

131 The environment within which humans evolved was biodiverse (faunistically, floristically,
132 and microbially; Kellert & Wilson, 1993), so a negative health effect of reduced exposure to
133 biological diversity is somewhat intuitive and serves as the foundation for the ‘old friends’
134 hypothesis (Rook, 2013). However, fundamental research gaps remain in revealing the
135 human health benefits from urban green spaces (Craig, Logan, & Prescott, 2016; Flies et al.,
136 2017; Liddicoat, Waycott, & Weinstein, 2016). Could exposure to biodiverse microbiomes in
137 urban green spaces provide better immune priming and health outcomes (Mills et al., 2017)?
138 If so, it remains unclear how the *quality* of urban green spaces would affect such a
139 relationship. Such *quality* indicators would include plant, animal, or structural diversity (Fig.
140 3), which associate with microbial diversity and therefore exposure to a ‘healthy’

141 environmental microbiome. If such a relationship can be substantiated and quantified, a
142 significant reduction in disease burden could potentially be achieved by including high
143 *quality*, biodiverse urban green space in city planning.

144 [Insert Figure 3 here]

145 **What do we know about environmental microbiomes?**

146 As of yet we still know very little about the microbiomes in urban green spaces. We know
147 urban green microbiomes are different than those in built environments (Mhuireach et al.,
148 2016) and can harbour great diversity of microbial species (Ramirez et al., 2014). There are
149 four main components to the environmental microbiome relevant to urban green spaces:
150 substrate, air, water, and plant-dependent microbes (Table 1; Fig. 4). Much is known about
151 each of these microbiomes in different contexts; how soil microbiota interact with plants in
152 ecological (Bissett et al., 2016; Bulgarelli et al., 2012; Urbina et al., 2018) or agricultural
153 settings (Bakker, Otto-hanson, Lange, Bradeen, & Kinkel, 2013; Rousk et al., 2010), or how
154 aerial microbes relate to land use (Bowers, McLetchie, Knight, & Fierer, 2011; Mhuireach et
155 al., 2016), for example. We also know that it is possible to restore microbiomes from a
156 degraded or artificial state to one that represents wild, native areas (Cavagnaro, Cunningham,
157 & Fitzpatrick, 2016; Gellie, Mills, Breed, & Lowe, 2017; Yan et al., 2018). But despite the
158 potential for positive health impact (Charlop-Powers et al., 2016), the microbiomes in urban
159 green spaces remain poorly studied.

160 [Insert Figure 4 here]

161 There is also a lack of knowledge of the microbiomes associated with human structures (e.g.
162 benches, paths) in these green spaces, and how environmental microbiomes fluctuate through
163 time (e.g. diurnally, daily, seasonally, yearly), external urban influences (e.g. pollution,

164 traffic, surrounding land use), and across micro- (within-green spaces) and macro-geographic
165 (e.g. latitude, biome, human development index) scales.

166 [insert Table 1 here]

167 Rothschild et al. (2018) clearly showed that people's environment has an stronger influence
168 on their gut microbiomes than people's genetic background. However, 'environment' in this
169 context refers to anything non-inherited, including diet, lifestyle, and pharmaceuticals. Very
170 little is known of the influence that the outdoor environment has on human microbiomes.
171 Consequently, very few studies have directly linked non-diet environmental microbiomes to
172 human health (but see Liddicoat et al., 2018). However, there is a large body of evidence that
173 supports the inference that environmental microbiomes could potentially impact on human
174 health (Flandroy et al., 2018; Rook, 2013; von Hertzen et al., 2015). Therefore, there is the
175 potential to improve human health by designing microbially rich, biodiverse, healthy urban
176 green spaces.

177 **Healthy urban microbiome initiatives**

178 Microbiome science is rapidly delivering new understanding of the microbial mechanisms of
179 health but it has yet to be linked to contact with natural environments. A Healthy Urban
180 Microbiome Initiative (HUMI) has now emerged to examine these connections specifically,
181 providing the basis for a public health intervention in the form of healthy urban greenspace
182 exposure (see Solutions Box).

183 Microbial abundance and diversity have been identified as one of the reasons rural farming
184 populations experience less inflammatory related diseases and immune dysfunction (Ege et
185 al., 2011; Hanski et al., 2012; Riedler et al., 2001; Stein et al., 2016; von Mutius & Radon,
186 2008), but the relationship is complex. If we can identify the species, ecological communities

187 or routes of exposure for environmental microbiomes that are most conducive to health, green
188 space public health interventions such as HUMI can be more effective.

189 In doing so, we have the potential to improve the health and wellbeing of urban populations
190 by modifying their environment; regreening cities and restoring biodiversity into urban green
191 spaces, which may then provide human and environmental health co-benefits in ways that we
192 could not have conceived of before the rise of microbiome science (Mills et al., 2017). This
193 creates the possibility of a future in which green spaces are no longer considered an economic
194 burden, due to forgone development and maintenance costs, but rather as an essential
195 component of urban design that provides benefits to physical, mental wellbeing – and the
196 associated economic benefits.

197 **Conclusions**

198 Urban green spaces have a clear benefit to both environmental and human health. The
199 mechanisms behind this connection are not yet clear, but exposure to biodiverse
200 environmental microbiomes provides one possible explanation with tremendous promise for
201 public health applications. If we can identify the characteristics of health-giving urban
202 microbiomes, we can then manipulate aspects of the environment to promote microbial
203 biodiversity (e.g. urban spaces with a diverse structure, flora, and fauna), and promote the
204 types of interactions that put people in contact with these beneficial environmental
205 microbiomes.

206 To achieve this, however, we will need to make some fundamental changes to how we think.
207 We need more place-based, preventative, wellness-focused healthcare systems that interact
208 with urban planners, environmental managers, and politicians to promote healthy urban
209 designs and living. We need greater evidence of the mechanisms, and characteristics
210 connecting green spaces to health. Finally, we need better decision-making frameworks (e.g.

211 integration of ecological networks or complexity science) to support the trade-offs
212 surrounding healthy urban design (Rydin et al., 2012). Given the rapid rate and scale of
213 global urbanisation and current non-communicable disease trends, the development of a new
214 public health tool – healthy urban microbiomes – could not come at a better time. A global
215 healthy urban microbiome initiative (HUMI) that capitalises on this potential could mitigate
216 the adverse effects of our otherwise increasing isolation from biodiverse environments.

217 **Acknowledgements:** EJF is funded by Australian Research Council grant FL160100101.
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Solutions Box: We provide two examples of components of HUMIs in action: a policy-led, ‘top-down’ initiative in England, and a research, ‘bottom-up’ initiative in Australia.

England: Policy to Practice

England is the home of the Hygiene Hypothesis and more recently, the Old Friends Hypothesis, both of which emphasise the negative impact that separation from nature has on the physical health of humans. In 2012, the *Health and Social Care Act* transferred local public health resources back to local government from the National Health Service (NHS) and came into force along with a statutory requirement for local government to reduce health inequalities. This policy has driven a focus on the ‘wider determinants’ of health through local statutory fora such as health and wellbeing boards. Links of the environment to human activity and non-communicable diseases have been a particular focus of many of these boards.

More recently, the NHS has started to reshape the national health program to better align with local efforts in order to reduce the gaps in health and wellbeing, and improve quality of care and financial sustainability (NHS 2014). The outcomes of this policy initiative have been the ‘Sustainability and Transformation’ plans for each of 44 areas of England and the formation of Integrated Care Systems. This signals a shift beyond the typical focus on organisational and medical services to a lifecourse and systems approach.

These are early days in what will, for England, be a radical transformation, but already the issue of urban green space has been prominent in local discussions. Collaboration between Public Health Dorset and HUMI (see below) is an illustration of the potential for environmental and health co-benefits. However, those co-benefits can only be achieved if the mechanistic evidence can overcome the various international logistic and political challenges (Flies et al 2017).

Australia: the HUMI research

There are daily revelations connecting microbiomes to human health outcomes. The human microbiome has been linked to obesity, depression, and cancer. However, our understanding of how the surrounding environment impacts the human microbiome is in its infancy.

The Healthy Urban Microbiomes Initiative (HUMI, based at the University of Adelaide, Australia) was developed to fill that gap. HUMI integrates microbiome science with ecology, public health and urban development to elucidate what influences urban microbiomes, how they can be manipulated, and how urban microbiomes impact human health. To this end, HUMI works with partners in Australia, the UK, India and China to collect soil, vegetation and aerial samples from local green spaces. With metagenomics and quantitative spatial models, the factors influencing urban microbial communities are being elucidated. With partners in the public health sector, these environmental microbiomes are being connected to human health outcomes. This knowledge can then feed back to inform local governments and the education sector looking to develop healthy, prosperous cities.

Major green space biome	Sample type	Relevance for human health	Key references
Substrate	Surface soil, sub-surface soils, pebbles and gravel	During direct interaction with substrates (e.g. digging in garden), major source of aerial microbiome	(Bissett et al., 2016; Ramirez et al., 2014; Roesch et al., 2007)
Water	Streams, lakes, ponds, sea water	During direct interaction, also a major source of aerial microbiome	(McLellan, Fisher, & Newton, 2015; Vaz-Moreira, Nunes, & Manaia, 2014)
Vegetation	Whole leaf/bark/root or swabs/washings of plant surface	Direct contact with plants, consumption of plants (e.g. garden), major source of aerial microbiome	(Bulgarelli et al., 2012; Vacher et al., 2016)
Air	Settlement traps, petri dishes, filtered vacuum samples	Most direct route of human (e.g. respiratory, oral and skin) exposure	(Bowers et al., 2011; Brodie et al., 2007; Burrows, Elbert, Lawrence, & Pöschl, 2009; Mhuireach et al., 2016)

444 Table 1: Main sources of environmental microbiomes, sampling techniques and health
445 relevance for each. Key citations/references are also provided.

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449 Figure 1: Connections between green spaces and various components of health and wellbeing

450 Figure 2: San Mateo Park (California, USA) provides opportunities for exercise (walking,

451 bike riding), relaxation, recreation and interaction with humans, plants and environmental

452 microorganisms.

453 Figure 3: Does a diversity of substrates (mulch, sand, grass, pavement, fertilized soil) provide

454 greater diversity of habitats, a greater microbial biodiversity and greater potential health

455 benefits?

456 Figure 4: Humans are exposed to microbes from a variety of environmental sources including

457 the soil, water (standing water and rainfall), air and plants.