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17

# 1 **Abstract**

2

## 3 **Purpose**

4 Recent genome-wide association studies reported strong association of genetic variation at  
5 the *CDKN2B/CDKN2B-ASI* locus on 9p21 with normal-tension glaucoma (NTG) in multiple  
6 populations. The mechanism by which this locus causes disease remains to be elucidated. We  
7 investigated the association of DNA methylation of CpG islands at this locus with NTG.

## 8 **Methods**

9 We conducted a retrospective case-control study of 178 NTG cases and 202 unaffected  
10 controls from Australia. *CDKN2B* and *CDKN2B-ASI* promoter methylation was measured  
11 quantitatively using the MassCleave assay, and assessed for association with the disease, and  
12 the genotype of the associated risk variants using IBM SPSS statistics 22. CpG sites at which  
13 methylation status was associated with NTG were validated using pyrosequencing.

## 14 **Results**

15 We identified one CpG site (F1:13-14) in *CDKN2B* promoter which showed significant  
16 association with NTG ( $p=0.001$ ). The association was highly significant in female cases ( $p=$   
17  $0.006$ ) but not in male cases ( $p=0.054$ ). The association was validated using an independent  
18 method confirming the likely association of DNA methylation with NTG in females  
19 ( $p=0.015$ ), but not in males ( $p=0.497$ ). In addition, methylation at CpG sites in *CDKN2B* was  
20 also associated with genotype at rs1063192, which is known to confer risk for NTG.

## 21 **Conclusion**

22 This study reveals an association of methylation status in the *CDKN2B* promoter with NTG,  
23 particularly in females. This suggests that the observed genetic association with the disease at  
24 this locus could be in part due to epigenetic mechanisms, and is likely to be independent to  
25 association of non-synonymous coding variation within the gene.

## 1 **Introduction**

2 Glaucoma is a heterogeneous group of optic neuropathies characterised by progressive loss of  
3 peripheral vision due to loss of retinal ganglion cells. [1] Collectively, they are the leading  
4 cause of irreversible, preventable blindness worldwide. As an age-related condition, the  
5 number of people with glaucoma is predicted to double over the next 20 years. [1] Primary  
6 open-angle glaucoma (POAG) is the most common subtype of the disease, particularly  
7 amongst Caucasian populations. While elevated intraocular pressure (IOP) is the leading risk  
8 factor, at least 20% of POAG patients have IOP measurements repeatedly within the normal  
9 range, a subgroup termed normal-tension glaucoma (NTG). [2]

10

11 Several genes have been found to contribute to rare Mendelian forms of POAG but these  
12 account for only a small proportion of POAG cases. [3-6] The majority of Primary open-  
13 angle glaucoma is a complex polygenic disease with both genetic and environmental risk  
14 factors. Common genetic variation at many candidate genes have been assessed for  
15 association with glaucoma risk but most findings have been difficult to replicate [7] until a  
16 genome-wide association study (GWAS) identified a novel locus for POAG,  
17 *CDKN2B/CDKN2B-AS1* on chromosome 9p21. [8] SNPs in the region have been associated  
18 with POAG in multiple studies including Caucasian, Afro-Caribbean and Japanese  
19 populations. [9-14] Stratification by type of POAG indicated that this locus is more  
20 significantly associated with NTG than with high tension glaucoma. [9, 10, 13-16] We  
21 recently reported that females have a stronger association signal at the 9p21 locus, and  
22 variants at this locus are considered a risk factor for developing advanced NTG. [17]  
23 Association of variants at the 9p21 locus has also been reported with non-ocular diseases

1 including cardiovascular disease, [18] diabetes, [19] intracranial aneurysm, [20] and glioma.  
2 [21] The pathogenic variants in this region associated with glaucoma are yet to be identified.  
3  
4 The 9p21 locus harbours two tumour suppressor genes *CDKN2A* and *CDKN2B* (cyclin  
5 dependent kinase inhibitor 2A and 2B) which regulate and inactivate the retinoblastoma  
6 tumour suppressor protein (pRb) pathway. Furthermore, *CDKN2B* has been implicated in  
7 regulating cellular apoptosis in response to stress stimuli. [22] *CDKN2A* and *CDKN2B*  
8 transcription is regulated by *CDKN2B-AS1* (previously known as ANRIL). [23] Both genes  
9 were reported to be significantly upregulated in the retina in a rat model of elevated IOP,  
10 suggesting a possible role in apoptosis in retinal ganglion cells, [23] and in POAG  
11 pathogenesis. [8] Consistently, the genetic variation across the region has been shown to  
12 alter expression levels of this long non-coding RNA and the protein coding genes, [24]  
13 although the mechanism by which this occurs is as yet unclear.

14  
15 DNA methylation refers to the situation where a methyl group is transferred to cytosine  
16 residues in the context of CG dinucleotide (known as CpG sites). CpG sites are  
17 asymmetrically distributed throughout the genome and CpG dense regions are known as CpG  
18 islands. Methylation of CpG islands, particularly in the promoter region of genes, is  
19 associated with silencing of gene expression and is referred to as an epigenetic effect. [25,  
20 26] DNA is almost completely demethylated during early embryogenesis, and during  
21 organogenesis large numbers of genes are methylated and their expression is suppressed  
22 throughout life. Whilst epigenetic effects are well known in cancer, their exploration in eye  
23 diseases is still in its infancy; though recent studies in age-related macular degeneration, [27-  
24 29] cataract, [30] pterygium [31] and retinoblastoma [32] have shown some effects relevant  
25 to eye diseases.

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We hypothesized that in the absence of coding mutations accounting for disease, DNA methylation at CpG islands in the regulatory regions of *CDKN2B* and *CDKN2B-AS1* could account, in part, for the association with NTG risk. This could be mediated through genetic variations altering the methylation status, genetic factors independent of this locus having an effect, or acquired non-genetic changes in methylation status. As genotype has shown a possible correlation with methylation state, [33] this could provide a further insight in to the mechanism of the association with the SNPs in the region. We thus first screened coding exons in *CDKN2B* in our Australian Caucasian POAG cohort for variants to rule out their contribution then evaluated DNA methylation in these glaucoma-associated gene promoters.

**Methods**

Patients with normal-tension glaucoma and unaffected unrelated controls were recruited through the Australian and New Zealand Registry of Advanced Glaucoma (ANZRAG). [34] Additional controls were obtained from the Blue Mountains Eye Study (BMES). [35] All participants were described previously in a study that reported the association of genetic variants near *CDKN2B-AS1* with POAG. [8] Approval was obtained from the Human Research Ethics Committee of the Southern Adelaide Health Service and the University of Sydney for investigation of the epidemiology and genetics of ocular disease. The study was conducted in accordance with the Declaration of Helsinki and its subsequent revisions. Written informed consent was obtained from each participant.

Each participant received a complete eye evaluation including slit lamp examination, measurement of the central corneal thickness with ultrasound pachymetry, visual acuity, intra-ocular pressure, fundus examination with special attention to optic disc parameters, and

1 visual field assessment using the Humphrey 24-2 SITA-standard. The diagnosis of NTG was  
2 based on the presence of glaucomatous optic disc rim thinning, with vertical cup-to-disc ratio  
3 (VCDR)  $\geq 0.7$  or asymmetry of VCDR  $\geq 0.7$ , and corresponding glaucomatous visual field  
4 loss to the course of retinal nerve fibre thinning. Visual field loss secondary to glaucoma  
5 follows specific pattern ranging from arcuate defects, nasal steps, up to tunnel vision and total  
6 loss of field. [36, 37] . NTG was defined as highest recorded IOP for both eyes  $< 21$  mmHg.

7

### 8 Identification of coding variants

9 Genomic DNA was extracted from 8 ml of venous blood using the QiaAmp Blood Maxi Kit  
10 (Qiagen, Valencia, California) according to the manufacturer's protocol. Coding variants in  
11 *CDKN2B* were retrieved from whole exome sequences of 100 unrelated cases with NTG and  
12 104 unaffected unrelated Australian controls. As *CDKN2B-AS1* is a non-protein coding gene,  
13 analyses were only conducted on *CDKN2B* represented in the exome capture platform.  
14 Exome capture was performed using the Agilent SureSelect system and paired-end libraries  
15 were sequenced on an Illumina HiSeq 2000 at Macrogen Inc. (Seoul, South Korea). Reads  
16 were mapped to the human reference genome (hg19) using BWA ([bio-bwa.sourceforge.net/](http://bio-bwa.sourceforge.net/)),  
17 and duplicates were marked and removed using Picard  
18 (<http://broadinstitute.github.io/picard/>). Variants were called using SAMtools, [38] and  
19 annotated with ANNOVAR ([www.openbioinformatics.org/annovar/](http://www.openbioinformatics.org/annovar/)). Variants were  
20 described according to the recommendations of the Human Genome Variation Society  
21 (<http://www.hgvs.org/>) and referenced against the NHLBI Exome Variant Server  
22 (<http://evs.gs.washington.edu/EVS/>), 1000 Genomes [39] and dbSNP v138 [40] databases, all  
23 accessed February 2017. The potential functional significance of missense variants was  
24 analysed using Polyphen2 and SIFT programmes. [41, 42]

25

1 Determining methylation status of CpG islands

2 Total of 178 unrelated patients were recruited to the study, including the 100 participants  
3 (56.2%) who underwent exome sequencing. 68 patients were carrying either the homozygous  
4 risk haplotypes at the 9p21 region (consisting of SNPs rs3217992, rs1063192, rs7049105,  
5 rs10120688 and rs4977756, as defined previously [8]), and 20 cases with homozygous  
6 protective haplotypes. An additional 90 cases were included regardless of the genotype. A  
7 total of 202 controls were selected from the ANZRAG and BMES to match as closely as  
8 possible to the cases for age, sex and genotype (risk haplotype n=54, protective haplotype  
9 n=57, other genotypes n=91). We used the same samples for the discovery and the validation  
10 analysis. The samples were spread over 6 plates. Plate to plate variations were assessed as a  
11 covariate using multivariate logistic regression, as it has a potential to cause variation and  
12 bias to the DNA methylation analyses.

13

14 Genomic DNA (200ng) was subjected to bisulfite conversion, using the Methyl Easy Xceed  
15 kit (Human Genetic Signatures, NSW, Australia). Methylation assays for assessing the  
16 methylation status of CpG dinucleotides upstream of *CDKN2B* and *CDKN2B-AS1* were  
17 designed using the EpiDesigner primer design tool ([www.epidesigner.com](http://www.epidesigner.com)). These two genes  
18 were selected as their promoter regions are closest to the peak association signal in this  
19 region. [8, 13]

20

21 Four fragments were selected to cover the CpG islands upstream of *CDKN2B* and *CDKN2B-*  
22 *AS1*. Primer sequences and the number of CpG sites for each fragment are shown in  
23 **Supplementary Table 1**. Not all sites were resolvable with this methodology, and the  
24 numbering of each CpG dinucleotide is depicted in **Supplementary Figure 1**. Specific CpG



1 sites are denoted as Fragment:site number (e.g. F1:13-14 denotes CpG sites 13 and 14 in  
2 fragment 1).

3

4 Amplicons were generated from the bisulfite converted DNA, subjected to the MassCleave  
5 assay (Sequenom Inc. San Diego, CA) and analysed by MALDI-TOF mass spectrometry  
6 (Sequenom Inc.) at the Australian Genome Research Facility, Brisbane, Australia. Individual  
7 methylation ratios for each sample at each CpG dinucleotide were estimated with the  
8 Sequenom EpiTYPER<sup>®</sup> software (Sequenom Inc.). [43]

9

#### 10 Confirming the findings at the *CDKN2B* promoter using pyrosequencing

11 Pyromark AssayDesign 2.0 (Qiagen, Australia) software was used to design a single assay  
12 covering the region of interest from the EpiTyper analysis (correlating to CpG 13 to CpG 17  
13 in fragment 1). The forward primer sequence was 5'-GGGGATTAGTGGAGAAGGT-3' and  
14 the reverse primer 5'-CCCTAAAACCCCAACTACCTA-3'. DNA samples were subjected to  
15 bisulfite conversion and clean-up using the Qiagen Epiect Bisulfite conversion kit. A well  
16 characterised control DNA was included to monitor bisulfite conversion efficiency. PCR  
17 amplifications of bisulfite converted DNA were conducted using the Qiagen Pyromark PCR  
18 kits with appropriate positive and negative control reactions. All PCR amplifications were  
19 assessed for performance by resolution on agarose gels. Pyrosequencing was performed with  
20 the Qiagen PyroMark Gold pyrosequencing reagents on the PyroMark Q24 system at the  
21 Australian Genome Research Facility, Perth, Australia. A positive control was sequenced in  
22 parallel with all samples. The pyrosequencing data was analysed using the PyroMark Q24  
23 v2.0.6 analysis software (Qiagen) to give a percentage of methylated reads at each CpG  
24 dinucleotide.

25

1 Statistical analysis

2 All analyses were performed using IBM SPSS statistics 22.0. The distribution of the degree  
3 of methylation at each CpG site deviated from normality as assessed by the Smirnov-  
4 Kolomogorov test, therefore the nonparametric Mann-Whitney U test was used to test  
5 association between methylation levels and NTG or SNP genotype. Bonferroni correction for  
6 the number of CpG sites analysed in each promoter was employed. The threshold for  
7 determining significance was therefore  $p < 0.002$  for *CDKN2B* (28 sites) and  $p < 0.003$  for  
8 *CDKN2B-AS1* (15 sites). Multivariate logistic regression was used to adjust for relevant  
9 covariates (age, sex, genotype, and plate to plate variation) to explore the association of  
10 methylation with NTG.

11

12 **Results**

13 Demographic and clinical characteristics of cases and controls are shown in **Table 1**. There  
14 was a significant difference in cup-to-disc ratio and intraocular pressure (IOP) between NTG  
15 cases and normal controls, as expected ( $p$ -value  $< 0.01$ ). No age or sex differences were  
16 found due to the selection of age- and sex-matched controls.

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18 **Table 1: Clinical characteristics of NTG cases and unaffected controls**

Variables	Cases	Controls	p-value
Number	178	202	-
Sex (% female)	56%	52%	0.41
Mean age in years (SD)	77.8 (9.9)	78.1(7.4)	0.73
IOP in mmHg (SD)	17.0 (3.0)	12.8 (2.3)	<0.01
Cup/disc ratio (SD)	0.9 (0.1)	0.2 (0.1)	<0.01

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1 We first screened the Australian participants for potential disease-causing variants in the  
 2 exons of *CDKN2B*. A single synonymous variant in *CDKN2B* (p.G130G) was found in an  
 3 unaffected control. No predicted pathogenic variants were found in NTG cases.

4

5 Association of *CDKN2B* and *CDKN2B-AS1* promoter methylation status with NTG

6 Overall, CpG islands at the 9p21 locus showed relatively low levels of DNA methylation  
 7 (**Supplementary Figure 2**). In the *CDKN2B* promoter, methylation levels at three sites were  
 8 associated with NTG ( $p < 0.05$ ). Only one Fragment, fragment 1 sites 13-14 (F1:13-14),  
 9 survived Bonferonni correction ( $p < 0.001$ , **Table 2**). This location which contained two CpG  
 10 dinucleotides could not be resolved further by the EpiTyper assay. The methylation level was  
 11 observed to be higher in controls than in cases (**Table 2**). In the *CDKN2B-AS1* promoter, one  
 12 CpG site in fragment 4, F4:25-27, was associated with NTG but did not survive correction for  
 13 multiple testing ( $p = 0.003$ ) (**Table 2**).

14

15 **Table 2: Association of methylation of CpG dinucleotides in *CDKN2B* and *CDKN2B-***  
 16 ***AS1* promoters with NTG. P-values from Mann-Whitney U test are indicated. Values in**  
 17 **bold indicates significant association with NTG following Bonferonni correction.**

18 (full data presented in Supplementary table 2).

Gene	Fragment:CpG site(s)	Glaucoma status	Mean rank	p-value
<i>CDKN2B</i>	F1:13-14	Controls	197.16	<b>0.001</b>
		Cases	162.49	
<i>CDKN2B</i>	F1:15-17	Controls	176.08	0.012
		Cases	150.12	
<i>CDKN2B</i>	F2:35-37	Controls	171.75	0.031
		Cases	195.49	
<i>CDKN2B-AS1</i>	F4:25-27	Controls	201.45	0.003
		Cases	169.85	

19

1 As a secondary analysis, we stratified the cohort by sex. In this analysis, F1:13-14  
 2 (*CDKN2B*), F2:35-37 (*CDKN2B*) and F4:25-27 (*CDKN2B-AS1*) showed association with  
 3 NTG among the female group with p-values of 0.006, 0.006 and 0.003, respectively. A trend  
 4 of association between F1:15-17 (*CDKN2B*) and the disease was found in the male group  
 5 with p-value of 0.011 (**Table 3**). None of these associations survived correction for multiple  
 6 testing.

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9 **Table 3: Association of methylation status of the CpG sites in *CDKN2B* and *CDKN2B-AS1***  
 10 **promoters with NTG, stratified by sex.**  
 11 **(full data presented in Supplementary table 3).**

Genes	Fragment CpG site	Glaucoma status	Female		Male	
			Mean rank	Mann-Whitney U	Mean rank	Mann-Whitney U
<i>CDKN2B</i>	F1:13-14	Controls	95.99	0.006	85.55	0.054
		Cases	75.33		71.65	
	F1:15-17	Controls	83.26	0.323	77.28	0.011
		Cases	76.06		60.09	
	F2:35-37	Controls	77.44	0.006	77.95	0.639
		Cases	98.12		81.35	
<i>CDKN2B-AS1</i>	F4:25-27	Controls	112.08	0.003	89.44	0.375
		Cases	88.44		82.96	

12

13 To determine whether the observed DNA methylation is genotype-dependent, we compared  
 14 the degree of methylation between homozygous carriers of the risk alleles for rs1063192  
 15 (representing the full risk haplotype) to carriers of the protective alleles. Interestingly, F1:13-  
 16 14 in *CDKN2B* showed no association with the genotype at this SNP (**Table 4**) despite both  
 17 the SNP and methylation status being associated with NTG. The results showed three  
 18 additional sites in the *CDKN2B* promoter to be statistically significantly associated with  
 19 genotype, F1:18-19, F2:24-27 and F2:35-37, and to be more methylated in participants

- 1 carrying the homozygous risk allele than in participants carrying the homozygous protective
- 2 alleles (Table 4).

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**Table 4: Association between methylation of the associated CpG sites in *CDKN2B* gene promoter and rs1063192 genotype. (full data presented in Supplementary table 4).**

CpG site_fragment	rs1063192 genotype	Mean Rank	Mann-Whitney U
F1:13-14	Wild type	131.88	0.042
	Homozygous	112.23	
F1:18-19	Wild type	97.88	<b>0.002</b>
	Homozygous	126.70	
F2:24-27	Wild type	98.62	<b>0.001</b>
	Homozygous	129.59	
F2:35-37	Wild type	97.34	<b>0.001</b>
	Homozygous	130.16	

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We then applied a logistic regression model to further explore the relationship between the most statistically significant CpG site in the overall analysis (F1:13-14) and a set of variables consisting of age, sex, genotype at rs1063192 and plate to plate variations. When all variables were considered, F1:13-14 in *CDKN2B* promoter was significantly associated with NTG status ( $p < 0.001$ , **Table 5**), independent of age, sex and genotype. However, borderline significance of the plate to plate variation ( $p = 0.025$ ) observed in the logistic regression model could possibly account for the signal in the CpG site F1:13-14. To explore this, we conducted validation tests using a second method to target this CpG site in *CDKN2B* for further confirmation.

1 **Table 5: Binary logistic regression of all relevant variables with *CDKN2B* methylation**  
 2 **site associated with NTG.**

Variables	p-value	OR	95% C.I.	
			Lower	Upper
Age	0.818	1.004	0.97	1.04
Sex	0.196	0.661	0.35	1.24
Plate	0.025	0.709	0.52	0.96
CpG F1:13-14	4.0 X 10 <sup>-4</sup>	1.061x10 <sup>-8</sup>	3.6x10 <sup>-13</sup>	3.0x10 <sup>-4</sup>
rs1063192 homozygotes	0.017	1.551	1.08	2.22
Constant	0.425	3.034		

3 OR; odds ratio. CI; confidence interval.

4

5 Validation of association of *CDKN2B* promoter methylation with NTG

6 To follow up the association of F1:13-14 with NTG, five CpG dinucleotides in fragment 1  
 7 including CpG sites 13 to 17 were assessed individually via pyrosequencing (**Table 6**). No  
 8 significant signals were detected. However, when the analyses were stratified by sex, CpG13  
 9 in Fragment 1 was associated with NTG in the females (p = 0.015), with methylation degree  
 10 lower in cases (5.24) than in controls (5.66), in agreement with the findings from the  
 11 MassArray analyses. No association was found in the male group (p= 0.497).

12 Examination of the mean methylation detected using both methods and in each sex is  
 13 shown in Supplementary table 5. These data show that CpG13 has on average, less  
 14 methylation observed than CpG14, detectable by pyrosequencing. The degree of  
 15 methylation and the differences are small, but statistically significant difference were  
 16 detected using the appropriate non-parametric tests.

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2 **Table 6: Pyrosequencing results of the targeted CpG sites in *CDKN2B* promoter showing the mean rank for cases and controls and**  
 3 **association with NTG in all participants and stratified by sex. Significant value ( $p < 0.05$ ) is in bold.**

	Combined			Female			Male		
CpG sites	Control	Case	Mann Whitney-U	Control	Case	Mann Whitney-U	Control	Case	Mann Whitney- U
CpG 13	197.63	182.42	0.178	112.82	92.69	<b>0.015</b>	85.66	90.90	0.497
CpG 14	198.77	181.12	0.118	109.33	96.35	0.117	90.13	85.35	0.535
CpG 15	198.85	181.02	0.114	110.58	95.04	0.061	89.18	86.54	0.732
CpG 16	195.05	185.33	0.389	109.99	95.67	0.084	86.14	90.31	0.588
CpG 17	199.01	180.84	0.108	110.9	94.71	0.051	89.45	86.20	0.637

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## 1 Discussion

2 Common genetic variation at *CDKN2B/CDN2B-ASI* on chromosome 9p21 has been reported  
3 to be associated with larger cup-to-disc ratio and primary open-angle glaucoma, particularly  
4 the normal-tension subtype. [8, 15] Junemann et al [44] recently reported a significant  
5 elevation of global genomic DNA methylation in patients with POAG. Another study  
6 suggested that the hypoxic environment in glaucoma has a role in altering the epigenetic  
7 mechanisms, which can lead to fibrosis and accumulation of the extracellular matrix in the  
8 trabecular meshwork and lamina cribrosa at the optic nerve head. [45] Our initial analysis  
9 focused on sequence variation in the protein coding *CDKN2B* gene, however, the absence of  
10 likely disease-causing variants in 100 NTG patients prompted additional studies to explore  
11 the mechanism of association of this locus with POAG. As the involvement of epigenetics of  
12 specific glaucoma-causing genes had not been investigated, we aimed to identify the  
13 difference in methylation status of *CDKN2B* and *CDKN2B-ASI* CpG islands between NTG  
14 cases and controls in a larger sample and including patients known to carry the disease  
15 associated alleles. Such studies are important to understand the effect of the environment on  
16 genetic susceptibility for development of the disease.

17

18 Carriers of the risk alleles at *CDKN2B/CDKN2B-ASI* are reported to be three times more  
19 prone to develop NTG, the mechanism for which is likely to be increased vulnerability of the  
20 retinal ganglion cells in response to stress including change in intra-ocular pressure, even  
21 when measured pressures do not exceed the standard clinical definition of high pressure. [46]  
22 Wiggs et al [13] suggested that *CDKN2B-ASI* is involved in optic nerve degeneration in  
23 glaucoma through influencing the expression of *CDKN2B*, an inhibitor of cyclin-dependent  
24 kinase activity that is responsible for cell cycle maintenance. In addition, these loci play a

1 role in regulating the TGF-beta pathway, which is involved in regulating the intra-ocular  
2 pressure and protecting the optic nerve from degeneration in glaucoma.

3

4 The finding of this study suggests that the CpG islands in the promoters of *CDKN2B* and  
5 *CDKN2B-AS1* are not highly methylated in circulating lymphocytes. Differences in the  
6 methylation level between NTG cases and controls do appear to exist, particularly in females.

7 The use of two methods to detect methylation status provides confidence in the result,  
8 however the limited size and the post-hoc nature of the sex stratified analysis does warrant  
9 some caution when interpreting the findings and replication in an independent cohort is  
10 required.

11 The association observed in females agrees with our recent study that reported a strong  
12 association between POAG relevant SNPs at 9p21 and females presenting with NTG [17] and  
13 may provide some of the explanation for these observed sex based differences if the genetic  
14 association is able to tag the methylation status. We also show that the degree of methylation  
15 at the most associated CpG site F1:13-14 in *CDKN2B* promoter, did not appear to be  
16 influenced by the genotype of NTG risk SNPs suggesting that it could have an independent  
17 role in pathogenesis of the disease. Additional associations were also noted between F1:18-  
18 19, F2:24-27 and F2:35-37, with the homozygous risk alleles in rs1063192. This could  
19 indicate that the homozygous genotype of the SNP is required for these CpG sites to have an  
20 effect.

21 Estrogen is thought to have a protective effect against POAG risk.[47] Our female cases  
22 were predominantly of post-menopausal age which may explain the noticeable differences in  
23 the methylation status between the sexes. Additionally, the degree of methylation at the most  
24 associated CpG site F1:13-14 in *CDKN2B* promoter, did not appear to be influenced by the

1 genotype of at NTG risk SNPs, suggesting that it has an independent effect and could have  
2 played a role in causing pathogenesis of the disease, independent of the effect of genotype.

3

4 The main limitation of this study is that we examined the methylation status of the gene of  
5 interest using genomic DNA derived from lymphocytes, thus any acquired methylation  
6 changes in the retina may not be reflected in blood DNA. Lymphocyte DNA was used  
7 because of limited availability of eye-tissues (i.e. retina) for investigation, particularly from  
8 large numbers of patients, especially in the early stage of the disease. Furthermore, in the  
9 advanced blinding stages of the disease, the retinal ganglion cells would have undergone  
10 apoptosis and their methylation contribution to the overall genomic DNA of the tissue itself  
11 would be irreversibly lost.

12

13 To our knowledge, this is the first study to investigate the association between DNA  
14 methylation in specific glaucoma-associated genes and normal-tension glaucoma. We showed  
15 that alteration of the methylation pattern in the promoter region of *CDKN2B* could be  
16 associated with the risk of normal-tension glaucoma in females independent of the genotype  
17 effect of the associated SNPs. Females with NTG have lower levels of methylation than  
18 matched controls. Additional investigations in independent cohorts and in varied ethnic  
19 groups are needed to confirm these associations.

20

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