An assessment of community health workers’ ability to screen for cardiovascular disease risk with a simple, non-invasive risk assessment instrument in Bangladesh, Guatemala, Mexico, and South Africa: an observational study

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Summary
Background Cardiovascular disease contributes substantially to the non-communicable disease (NCD) burden in low-income and middle-income countries, which also often have substantial health personnel shortages. In this observational study we investigated whether community health workers could do community-based screenings to predict cardiovascular disease risk as effectively as could physicians or nurses, with a simple, non-invasive risk prediction indicator in low-income and middle-income countries.

Methods This observation study was done in Bangladesh, Guatemala, Mexico, and South Africa. Each site recruited at least ten to 15 community health workers based on usual site-specific norms for required levels of education and language competency. Community health workers had to reside in the community where the screenings were done and had to be fluent in that community’s predominant language. These workers were trained to calculate an absolute cardiovascular disease risk score with a previously validated simple, non-invasive screening indicator. Community health workers who successfully finished the training screened community residents aged 35–74 years without a previous diagnosis of hypertension, diabetes, or heart disease. Health professionals independently generated a second risk score with the same instrument and the two sets of scores were compared for agreement. The primary endpoint of this study was the level of direct agreement between risk scores assigned by the community health workers and the health professionals.

Findings Of 68 community health worker trainees recruited between June 4, 2012, and Feb 8, 2013, 42 were deemed qualified to do fieldwork (15 in Bangladesh, eight in Guatemala, nine in Mexico, and ten in South Africa). Across all sites, 4383 community members were approached for participation and 4049 completed screening. The mean level of agreement between the two sets of risk scores was 96·8% (weighted κ=0·948, 95% CI 0·936–0·961) and community health workers showed that 263 (6%) of 4049 people had a 5-year cardiovascular disease risk of greater than 20%.

Interpretation Health workers without formal professional training can be adequately trained to effectively screen for and identify, people at high risk of cardiovascular disease. Using community health workers for this screening would free up trained health professionals in low-resource settings to do tasks that need high levels of formal, professional training.

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Introduction
The burden of non-communicable diseases (NCDs) in low-income and middle-income countries is very high and compounds the effect of the already high burden of infectious diseases. Cardiovascular disease is a major contributor to the increasing burden of NCDs in these low-income and middle-income countries. WHO has noted the crucial importance of investing in the prevention of NCDs and of community screening, both for the ability to reach large segments of the population in a cost-effective manner and for building community-based models of care for disease management, which is key to ensuring success in the reduction and management of NCDs. Population-based approaches are an important aspect of public health strategies and particularly suited to the needs of low-resource settings, which face resource shortages (both human and fiscal) and need community support and contribution to ensure improved health outcomes. However, effective screening and appropriate management of patients who are at high risk of NCDs in low-resource settings is difficult owing to restricted human and financial resources. Health worker shortages are noted to be “the greatest impediment to health in sub-Saharan Africa”, where the proportion of trained health workers (doctors and nurses) in the region who intend to migrate ranges from 26% to 68%.
This challenge also extends beyond sub-Saharan Africa to other low-income and middle-income country settings. In Asia Pacific, health personnel estimates range from 29.1 physicians, 14.4 nurses, and three laboratory health workers per 100 000 population in Bangladesh to 237 physicians, 816 nurses, and 97 laboratory health workers per 100 000 population in New Zealand. Task shifting from physicians to nurses in management of NCDs is effective in several countries, including high-income countries. A review of the evidence about nurse-led interventions shows that nurses are effective at the management of diabetes in primary care, outpatient, and community settings and in the reduction of admissions to hospital, days spent in hospital, several readmissions, patient care, and cost savings, even after the cost of the intervention is factored in. Still, the overall shortage of human resources in low-income and middle-income countries restricts the ability of nurses to manage NCDs and suggests the need for task sharing of some of the prevention work with community health workers.

Task shifting to community health workers in NCD management has largely focused on improvement of adherence or lifestyle choices, or of screening for cancer. However, whether community health workers could be effective at both screening for, and monitoring of, people with cardiovascular disease is unclear. Studies are needed to assess the role of community health workers in both screening and monitoring of cardiovascular disease separately because they need different skills and functions that overlap with nurses and physicians. Also, community health workers are often not well trained and many do not have the instruments needed to manage NCDs. Furthermore, within the existing health-care system infrastructures in low-income and middle-income countries, the shortage of funding for NCD care, the limited evidence for the best models of care, and scarcity of resources to do laboratory-based assessments for NCD risk factors, such as lipid levels, provide additional challenges to effective screening for high-risk people at the population level.

A non-invasive risk indicator was previously developed and validated using National Health and Nutrition Examination Surveys (NHANES) data in the USA and in several South African cohorts to assess the absolute risk of experiencing a cardiovascular-disease-related event 5 years after assessment. The indicator needs sex, age, height, weight, body-mass index (BMI), current smoking status, average systolic blood pressure, and diabetes status, when available, to be collected. We assessed whether community health workers could be effectively trained to do community-based screenings for cardiovascular disease using this non-invasive, risk prediction indicator in low-income and middle-income countries. We aimed to compare the accuracy of the community health workers’ risk prediction scoring against those of health professionals.

Methods

Settings, community health worker selection, and participants

This study was done in four countries: Bangladesh, Guatemala, Mexico, and South Africa, which are part of the global network of US National Heart, Lung and Blood Institute and UnitedHealth Group centres of excellence for chronic disease, which total ten country sites representing 18 countries across the world. The four countries in this study recruited community health workers from a combination of rural (Matlab, Bangladesh and Santiago Atitlan, Guatemala), urban (Hermosillo, Mexico), and peri-urban (Khayelitsha, South Africa) sites. Each site recruited at least ten to 15 community health workers on the basis of usual site-specific norms for required levels of education and language competency.

Community health workers are typically people who are employed by government departments of health to assist in delivery of health-care services to offset personnel shortages. Their training is often informal and need based, and their skills are not obtained through degree granting or traditional health professional programmes, such as medical or nursing schools. The minimum number of years in education required at the individual sites were grade 8 for Bangladesh, 3 years of high school for Guatemala, and completion of grade 12 for South Africa. No formal education requirement was needed for community health workers in Mexico, but trainees had all at least completed middle school. Each community health worker had to reside in the community where the screenings were done and had to be fluent in that community’s predominant language.

The study population for screening was drawn from the catchment area served by the local community health centres at each of the participating sites. Community health workers were assigned to a specific location within each site and had to visit each household in their assigned location until they recruited 100 eligible people for screening. Community residents aged 35–74 years were deemed eligible for screening and referral. People reporting a previous history of treatment for hypertension, diabetes, or known cardiovascular disease (stroke, myocardial infarction, or angina) were ineligible for screening because they were presumed to have been referred to, or treated in, their local primary health centres at some point before screening. Residents with a measured systolic blood pressure greater than 180 mm Hg were deemed clinically urgent cases. Community health workers did not assess these residents’ cardiovascular disease risk but, provided them with an urgent referral for immediate assessment by a health professional (nurse or physician) at the closest health centre. Community health workers screened all remaining eligible participants and assigned them an individual cardiovascular disease risk score, as described below.

The study protocols were reviewed and approved by the individual site ethics and institutional review boards and...
the US National, Heart, Lung and Blood Institute equivalent. Both the community health workers and individual participants signed two copies of the written consent form, and kept one copy each.

Training

Training of the community health workers was done over 1–2 weeks and included both practical and didactic components. Training teams were composed of health professionals (eg, nurses, physicians, and nutritionists) who were fluent in both the official and predominantly spoken languages at each site. Practical training covered measurement of the mid-upper arm circumference to establish the correct cuff size for measuring systolic blood pressure and the correct measurement of the mean systolic blood pressure over three readings that were taken 5 min apart with an automated Omron blood pressure machine. Further practical topics covered the measurement of height with an adjustable height stick, and weight with a digital scale, calculation of BMI with a calculator, completion of risk factor questionnaires through an in-person interview, maintenance of confidentiality through the recruitment and screening process, and assistance in the explanation and completion of informed consent forms. Additionally, practical assessments were completed including obtaining of anthropometric measurements on an individual basis by the study coordinator and trainers as part of the post-training assessment.

Didactic training covered cardiovascular disease definitions, symptoms, and assessment of risk factor history; obtaining of a cardiovascular disease risk score with the indicator; and completion of study forms, including consent forms. Didactic elements were assessed with a post-training knowledge test before selection of community health workers to deploy for fieldwork. Only community health workers who passed both the knowledge test (with a minimum score of 60% on content knowledge for cardiovascular disease and 100% on using the risk indicator correctly) and did well enough on the anthropometric measurement skills (100% score needed to pass) were deployed to do randomly supervised assessments during a 1–2 week run-in period; the study coordinator randomly selected a community health worker to accompany them for a day’s recruitment and directly observed the health worker recruit for the study, screen the participant, and do other processes outlined in the study protocol. All community health workers were observed in this way before the end of the run-in period to identify any performance issues before their participation in fieldwork. The final selection of community health workers was made from those who did well enough during the run-in period, which resulted in some exclusion of community health workers who had passed the post-training tests. Fieldwork for each community health worker consisted of opportunistic screening of at least 100 community members for 4–6 weeks at community screenings or in members’ homes.

Calculation of risk scores

The absolute risk score, developed and published in 2008 and similar to the Framingham risk score, uses self-reported data (sex, age, and current smoking status), measured anthropometric data (height, weight, and mean systolic blood pressure), and calculated data (BMI). The absolute risk score is further defined as the probability of experiencing a cardiovascular disease, or cardiovascular-disease-related event within 5 years after the risk assessment. The survival function underlying the risks assigned to individual cells on the risk scoring chart (figure 1) are described in detail by Gaziano and colleagues, including the development of the risk score and its validation in the NHANES population in the USA and South Africa. The risk chart is the same one used in the 2008 publication and the β coefficients underlying the risk factors used in the model and chart are listed in table 1. Each square in the chart corresponds to the risk range associated with the risk factor responses or measurements for each individual within non-diabetics: age, sex, smoking status, BMI, and systolic blood pressure. In this study, the risk score for eligible participants was determined separately by both the community health workers and health professionals. For this study, the preprinted risk scoring chart divided the risk itself into five categories: low (<10%), some risk (10–20%), moderate (21–30%), increased (31–40%), and high (>40%).

After collecting and calculating the data necessary to determine a risk score, the community health worker used the risk scoring chart to locate the individual cell where all of these variables intersect. They noted the colour of the selected cell and then wrote down both the cell colour and the corresponding risk range for the cell using the legend on the bottom of the chart. People whose risk score was 21–40% were provided with a non-urgent referral letter for a full assessment of risk and appropriate clinical management by a physician or nurse at the closest health clinic within 2 weeks of the date of screening. People whose risk score was greater than 40% were provided with an urgent, same-day referral letter.

A designated health professional at each site was responsible for generating a second risk score with the raw data collected by the community health worker and with the same risk scoring chart to select a cell colour and corresponding risk range within 2 weeks of screening by the community health worker. The health professional was provided with a copy of the raw data collected by the community health worker but was not provided with the community health worker’s calculated BMI or risk score assignment. The health professional independently calculated the BMI for use in selecting a risk score. Study coordinators independently recorded both scores onto a
scoring sheet. All data related to the study, excluding identifiers, were single-entered and double-entered into an access database and sent to the coordinating study centre for cleaning and analyses.

Outcomes
The primary endpoint of this study was the level of direct agreement between risk scores assigned by the community health workers and those assigned by the health professionals. We calculated κ statistics with 95% CI to measure the concordance between the two sets of scores. Checks on the frequency of mismatches between cell colour and noted risk level for community health worker risk scores were also done and had no effect on the primary endpoint results. In cases where disagreement occurred between the two sets of scores that would warrant a change regarding a treatment referral recommendation, the study identity numbers were provided to the primary investigators to decide the best course of action for the affected participants.

Statistical analysis
Analyses were done using the statistical software packages SAS 9.3 and Stata 12.5 with a significance level of 5%. We generated descriptive statistics for the distribution of risk factors for populations in the study by producing mean and SD values for continuous variables (age, height, weight, BMI, systolic blood pressure, and diastolic blood pressure). Percentages are reported for dichotomised (0,1) variables of self-reported data (sex, current smoking status, history of diabetes, history of hypertension, and history of heart disease). Outliers, because they were deemed clinically infeasible and after independent verification from site coordinators values were true transcription errors for which no recorded correction was available, were omitted. In all cases, the values that were omitted were greater than two SDs from the mean for continuous variables (age, height, weight, BMI, systolic blood pressure, and diastolic blood pressure).

Role of the funding source
The funder had no role in study design, data collection, data analysis, data interpretation, or writing of the report.
The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

**Results**

Training was done from June 4, 2012, to Oct 15, 2012, depending on the study site. Recruitment for the study was done between June 27, 2012, and Feb 8, 2013, depending on the study site. Of 68 community health worker trainees recruited, 42 were deemed qualified to do fieldwork (15 in Bangladesh, eight in Guatemala, nine in Mexico, and ten in South Africa). There were 54 female (84%) and 10 male (16%) trainees. The mean age of trainees in Bangladesh, Mexico, and South Africa was 37 years. Guatemala did not collect age information about their trainees. Across all sites, 4383 community members (3287 of whom were female [75%]) were approached for participation and 4049 (3047 of whom were female [75%]) completed screening (figure 2). The mean age for women was 44·9 years and 47·4 years for men (table 2). The proportions of smoking and mean BMI in men and women varied widely across the sites. The highest proportion of current male smokers was in Bangladesh (113 [47%]) and the highest proportion of female smokers was reported in Mexico (125 [15%]). The mean BMI for women was 28·69 kg/m² (SD 6·7), ranging from 23·21 kg/m² (SD 4·5) in Bangladesh to 32·15 kg/m² (SD 7·7) in South Africa. Overall, the mean BMI in women was higher than in men at all sites, but women had a lower mean systolic blood pressure.

11 participants did not have both a community health worker and a health professional risk score, leaving 4038 for the primary outcome analysis. The mean level of agreement between the community health worker and health professional scores was 96·8% (weighted κ=0·948; 95% CI 0·936–0·961). Agreement levels at the sites were 97·4% (κ=0·94; 95% CI 0·89–1·00) in Bangladesh, 94·2% (κ=0·86; 0·81–0·92) in Guatemala, 96·5% (κ=0·91; 0·86–0·96) in Mexico, and 97·0% (κ=0·94; 0·89–0·98) in South Africa. 263 people (6%) were deemed to be at high risk (>20%) across the entire study and same-day, clinically urgent referrals were provided for 52 (19·3%) of them. South Africa accounted for 36 (69·2%) of 52 urgent and 93 (44·1%) of 211 non-urgent referrals; Bangladesh for 13 (25·0%) of 52 urgent and 48 (22·7%) of 211 non-urgent referrals; Mexico for 5 (9·8%) of 52 urgent and 35 (16·6%) of 211 non-urgent referrals; and Guatemala had no urgent referrals and 35 (16·6%) of 211 non-urgent referrals. The results of the internal validity check showed that agreement between the community health worker risk score and health professional risk score was high, with a mean level of agreement of 96·8% (weighted κ=0·948; 95% CI 0·936–0·961).

Table 2: Population distribution of key risk factor variables required for cardiovascular disease risk score calculation (non-missing values only)
scores based on the range of the risk and the cell colour noted showed only 0·1% discordance (4 of 4038).

Of the 4038 people for whom a cardiovascular disease risk score was generated, 905 (22·4%) had a risk of greater than 10% and 3133 (77·6%) had a risk of less than 10% (figure 3). Participants with a risk of greater than 10% were divided into those with moderate risk (10–20%), 17·4% (704 of 4038), and those with high risk (>20%), 5·0% (201 of 4038). South Africa had the highest proportion of people at high risk. Guatemala had the highest proportion of people in the lowest risk category (<10%), with eight of ten people in this lower risk category.

Among individuals with a cardiovascular disease risk score, only 38 (1%) of the community health workers’ risk assessments across the entire study were cases in which referral recommendations would have been changed with a physician’s review, with Bangladesh, Mexico, Guatemala, and South Africa each contributing seven, eight, 18, and five of these cases, respectively. Of the 38 cases, 22 were reclassified as needing referral when the community health worker initially assessed no need for referral and 16 (42%) cases in which the community health worker made the recommendation for referral when the health professional’s score would not have recommended referral.

Discussion
This study shows that community health workers can be effectively trained to screen for cardiovascular disease risk and generate scores that are in a high level of agreement with those generated by health professionals with formal training (physicians and nurses) across four low-income and middle-income countries. The risk factor data collected confirms that, even after excluding individuals with a previous diagnosis of diabetes, hypertension, or known heart disease, nearly 20% of the remaining low-income and middle-income country community members have a 5-year cardiovascular disease risk greater than or equal to 10%. This result is a substantial number of people for whom community-based screening can offset development of cardiovascular disease. The level of agreement between community health worker and health professional scores seemed to be independent of individual country risk profiles.

Several reasons can be advanced for the high level of agreement. First, the selection process of community health workers was similar to those for many demographic health studies. Indeed, several surveys such as NHANES in the USA and the Demographic Health Surveys in South Africa have successfully trained non-health professionals to obtain the anthropometric measures and blood pressures used for the risk score. Common to these surveys are intensive training in the appropriate local language. Second, in addition to the official language of instruction needed at each site, site coordinators and trainers were also fluent in the local languages used by most of the community health workers. This ability enabled more intensive instruction and greater room to address any misunderstandings or misconceptions that arose during training than if they had not spoken the languages. Third, community health workers who did not score high enough on proficiency in calculating the score were excluded. This result is consistent with the finding that supervision and audit of performance are key features of successful outcomes in health workers in low-income and middle-income countries.22 Finally, the additional supervision during the run-in period as a further assessment of the community health workers’ abilities might have increased their proficiency. The risk chart itself is also simple to use. The chart allows for easy delineation by the six key risk factors and the colour-coding assists to distinguish risk levels.

The ability of well trained community health workers to do as well as formally trained health professionals, with simplified screening instruments, has been shown in high-income, middle-income, and low-income country settings (panel). In Bangladesh, community health workers with little training showed the capacity to screen independently for newborn illnesses with high validity compared with a doctor when using the same screening algorithm.24 Similarly, community health workers effectively provided point-of-service screening to assess coronary heart disease risk by generating a 10-year Framingham risk score with computerised software, in underserved populations in 34 counties in Colorado, USA.25 The effectiveness of community health workers to prescreen people at high risk for cancer and other conditions as a first step to subsequently increasing screening that requires high-level resources (eg, Papanicolaou smears obtained by physicians) or high-level training (eg, psychosocial risk assessment of pregnant women by nurses or physicians) has been shown in vulnerable populations in the USA.26-27

Some of the challenges in the trial included the low levels of numeracy and literacy. Nonetheless, the number
of community health workers who were unable to calculate the score was low and this was assessed efficiently during the training assessment. This challenge can probably be overcome through the development of an automated version of the risk indicator, which has a smaller dependence on a high level of numeracy. Another limitation is the potential generalisability of this indicator, which has a non-existent. Our risk instrument is as effective as traditional, more resource-intensive screening indicators for assessment of absolute cardiovascular disease risk (eg, the Framingham risk score), having been validated in the USA and South Africa. However, before our study, no evidence existed to show the effectiveness of the use of a non-invasive, simple screening instrument for absolute cardiovascular disease risk by lay health workers in low-income and middle-income countries. We have shown in this study that community health workers can be trained to use this risk-screening instrument to ascertain absolute cardiovascular disease risk as well as trained health professionals, and provide evidence that population-based screening in community settings can be effectively accomplished in low-resource settings.

Finally, we searched of the publicly available data sources used regularly by us to obtain additional materials related to worldwide efforts in cardiovascular disease prevention, including WHO databases, departments of health (national and state) in the countries where the study was done, and organisations charged with gathering statistical data for public health in these countries, etc.

Panels: Research in context

Systematic review
We first searched Pubmed’s Title and Abstract field using the most common terms to describe health workers without formal, traditional, or professional training or certification in the traditional health professions such as medicine or nursing. The specific search terms used were: “Community health workers”, “lay health workers”, “volunteer health workers”, “community health promoters”, “village health workers”, “village health volunteers”, “lay health workers”, “community health aides”, “health assistant workers”, “home-based caregivers”, “home community-based care”, “community health agents”, “health surveillance assistants”, and “traditional birth attendants”. This search yielded more than 31,000 results. Results were filtered to include only articles published between Jan 1, 2004, and Dec 31, 2014, published in English, having full-text available to the Harvard University Library System, covering human participants only, and including the additional search term of “cardiovascular”. This search resulted in a subset of 379 publications. Further refinements were made to include, in order, the search terms “heart” (n=307), “prevention” (n=57), and “screening” (n=17). A review of the final subset of articles showed that no studies consisting of primary screening for cardiovascular disease risk or screening in community settings were included. This final subset included studies related to hypertension education or control, knowledge of cardiovascular disease risk factors, educational efforts to promote knowledge about cardiovascular disease, or secondary prevention efforts for people with existing heart disease.

We did two additional searches using the same terms and steps with Google Scholar and the MetaLib+ library database at Harvard University, which has access to many proprietary databases. No additional articles of relevance were obtained.

Interpretation
Overall, all search efforts confirmed that there is very little published evidence about primary screening efforts for cardiovascular disease in community settings in low-income and middle-income countries. Furthermore, the evidence for using validated, effective non-invasive indicators to assess risk, as well as task sharing with community health workers for primary screening in cardiovascular disease in these settings, is non-existent.
could help with adherence in addition to screening for NCDs, substantial cost savings could be achieved and time for other tasks by other health professionals would be gained. Challenges for the integration of community health workers into the standard health-care team still exist and yet integration is crucial to overall success. More studies confirming proficiency of community health workers to help with some necessary tasks will go a long way to improve the efficiency of screening for and management of those at high risk of NCDs such as cardiovascular disease.

Contributors
TAG and NSL conceived the idea and design for the study. TAG and SA-G analysed the data and drafted the report. CAD, CMM, TP, NSL, and MK participated in revisions for the report. TAG is the guarantor of the report. All authors approved the final version of the manuscript.

Declaration of interests
We declare no competing interests.

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